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11321-P014US

PATENT

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UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of: : Group Art Unit:  
Richard E. Smalley et al. : 1773  
Serial No.: 09/935,995 : Examiner: Not Yet Assigned  
Filed: August 23, 2001 :  
Title: POLYMER-WRAPPED SINGLE WALL CARBON NANOTUBES

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

**CERTIFICATION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on March 5, 2002.

Signature

Gracie Segovia  
(Printed name of person certifying)

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While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.


In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Respectfully submitted,

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In Place of FORM PTO-1449 (Modified)

Serial No.: 09/935,995

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANTS' INFORMATION DISCLOSURE  
STATEMENT**

Applicants: Richard E. Smalley et al

Filing Date: August 23, 2001

Group: 1773

Atty. Docket No.: 11321-P014US

Reference Designation

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Translation Yes No
AAA	EP 0 949 199 A1	10/13/1999	Europe			Yes

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner Initial	
ADA	BAHR, <i>et al.</i> , "Functionalization of Carbon Nanotubes by Electrochemical Reduction of Aryl Diazonium Salts: A Bucky Paper Electrode," <i>J. Am. Chem. Soc.</i> , Volume 123, Number 27, 2001, pp. 6536-6542.
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ANA	RIGGS, <i>et al.</i> , "Strong Luminescence of Solubilized Carbon Nanotubes," <i>J. Am. Chem. Soc.</i> , 122 (2000), pp. 5879-5880.
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ARA	FAN, <i>et al.</i> , "Synthesis, Characterizations, and Physical Properties of Carbon Nanotubes Coated by Conducting Polypyrrole," <i>Journal of Applied Science</i> , Volume 74 (1999), 2605-2610.
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Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.